

## IMPLANTABLE VALVULAR PROSTHESIS

## FIELD OF THE INVENTION

5 The present invention relates to a medical device, and more particularly to a frame based unidirectional flow prosthetic valve, and the method for fabricating such valve.

## 10 BACKGROUND OF RELATED ART

The human body has numerous biological valves that control fluid flow through body lumens and vessels. For example the circulatory system has various heart valves that allow the heart to act as a pump by controlling the 15 flow of blood through the heart chambers, veins, and aorta. In addition, the venous system has numerous venous valves that help control the flow of blood back to the heart, particularly from the lower extremities.

These valves can become incompetent or damaged by 20 disease, for example, phlebitis, injury, or the result of an inherited malformation. Heart valves are subject to disorders, such as mitral stenosis, mitral regurgitation, aortic stenosis, aortic regurgitation, mitral valve prolapse and tricuspid stenosis. These disorder are 25 potentially life threatening. Similarly, incompetent or

damaged venous valves usually leak, allowing the blood to improperly flow back down through veins away from the heart (regurgitation reflux or retrograde blood flow). Blood can then stagnate in sections of certain veins, and in particular, the veins in the lower extremities. This stagnation of blood raises blood pressure and dilates the veins and venous valves. The dilation of one vein may in turn disrupt the proper function of other venous valves in a cascading manner, leading to chronic venous insufficiency.

10 Numerous therapies have been advanced to treat symptoms and to correct incompetent valves. Less invasive procedures include compression, elevation and wound care. However, these treatments tend to be somewhat expensive and 15 are not curative. Other procedures involve surgical intervention to repair, reconstruct or replace the incompetent or damaged valves, particularly heart valves.

Surgical procedures for incompetent or damaged venous valves include valvuloplasty, transplantation, and 20 transposition of veins. However, these surgical procedures provide somewhat limited results. The leaflets of some venous valves are generally thin, and once the valve becomes incompetent or destroyed, any repair provides only marginal relief.

As an alternative to surgical intervention, drug therapy to correct valvular incompetence has been utilized. Currently, however, there are no effective drug therapies available.

5 Other means and methods for treating and/or correcting damaged or incompetent valves include utilizing xenograft valve transplantation (monocusp bovine pericardium), prosthetic/bioprosthetic heart valves and vascular grafts, and artificial venous valves. These means have all had  
10 somewhat limited results.

What is needed is an artificial endovascular (endoluminal) valve for the replacement of incompetent biological human valves, particularly heart and venous valves. These valves may also find use in artificial  
15 hearts and artificial heart assist pumps used in conjunction with heart transplants.

#### SUMMARY OF THE INVENTION

The present invention relates to a medical device, and  
20 in particular, to a stent-based valve. A prosthetic valve comprises a radially expandable structural frame defining a longitudinal axis. The structural frame includes an anchor structure having a first and a second open end, a connecting member having a first and a second end, and a

cantilever valve strut having a first and a second end. The first end of the connecting member is attached to the second end of the anchor structure. The first end of the cantilever valve strut is cooperatively associated with the 5 second end of the connecting member. The prosthetic valve further includes a biocompatible membrane assembly having a substantially tubular configuration disposed longitudinally about the structural frame. The membrane assembly has a first end having a first diameter and a second end having a 10 second diameter, wherein the first diameter is greater than the second diameter. The first end of the membrane assembly is attached along the second end of the cantilever valve strut.

In another embodiment of the invention, the prosthetic 15 valve comprises a radially expandable anchor structure formed from a lattice of interconnected elements. The anchor has a substantially cylindrical configuration with a first and a second open end and a longitudinal axis defining a longitudinal direction extending there between. 20 A connecting member and a cantilever valve strut, each having first and second ends, are also provided. The first end of the connecting member is attached to the second end of the anchor. The first end of the cantilever valve strut is cooperatively associated with the second end of the

connecting member. The prosthetic valve further includes a biocompatible membrane assembly having a substantially tubular configuration disposed longitudinally about at least a portion of the connecting member. The membrane assembly has a first end having a first diameter and a second end having a second diameter, wherein the first diameter is greater than the second diameter. The first end of the membrane assembly is attached along the second end of the cantilever valve strut.

10 In still another embodiment of the present invention, the prosthetic valve comprises a radially expandable anchor structure formed from a lattice of interconnected elements. The anchor structure has a substantially cylindrical configuration with a first and a second open end and a longitudinal axis defining a longitudinal direction extending there between. A collar is provided and located proximal to the radially expandable anchor. At least one connecting member having a first and a second end is provided such that the first end of the connecting member is attached to the second end of the anchor and the second end of the connecting member is attached to the proximal collar. A cantilever valve strut having a first and a second end is also provided. The first end of the cantilever valve strut is attached to the proximal collar.

and extends in a distal direction substantially parallel to the longitudinal axis. The prosthetic valve further includes a biocompatible membrane assembly having a substantially tubular configuration disposed longitudinally about at least a portion of the connecting member. The membrane assembly has a first end having a first diameter and a second end having a second diameter, wherein the first diameter is greater than the second diameter. The first end of the membrane assembly is attached along the second end of the cantilever valve strut.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1A shows a perspective view of a prosthetic venous valve in the deployed state according to one embodiment of the present invention.

Figure 2A shows a perspective view of the prosthetic venous valve structural frame in the deployed state according to one embodiment of the present invention.

Figure 2B shows a perspective view of the prosthetic venous valve structural frame having helical connecting members according to one embodiment of the present invention.

Figure 2C shows a perspective view of the prosthetic venous valve structural frame having a sinusoidal

cantilever valve strut assembly according to one embodiment of the present invention.

Figure 2D shows a perspective view of the prosthetic venous valve structural frame having a helical valve strut 5 assembly according to one embodiment of the present invention.

Figure 2E shows a perspective view of the prosthetic venous valve structural frame having a proximal centering mechanism in the deployed state according to one embodiment 10 of the present invention.

Figure 2F shows a perspective view of the prosthetic venous valve structural frame having distal and proximal anchor mechanisms according to one embodiment of the present invention.

15 Figure 3A shows a perspective view of the distal stent anchor having a plurality of hoop structures according to one embodiment of the present invention.

Figure 3B shows a close-up perspective view of a loop member from the anchor having inner and outer radii 20 according to one embodiment of the present invention.

Figure 3C illustrates a single hoop anchor having three connecting members connected to the proximal end of the distal anchor at the outer radii of the inflection point of the loop members.

Figure 3D illustrates a single hoop anchor having three connecting members connected to the proximal end of the distal anchor at the inner radii of the inflection point of the loop members.

5 Figure 3E illustrates a single hoop anchor having three connecting members connected to the proximal end of the distal anchor along the strut members connecting the loop members.

10 Figure 4A is a perspective view illustrating one embodiment of the deployed prosthetic venous valve assembly in the open position.

Figure 4B is a section view illustrating one embodiment of the deployed prosthetic venous valve assembly in the open position.

15 Figure 5A is a perspective view illustrating one embodiment of the deployed prosthetic venous valve assembly in the closed position.

Figure 5B is a section view illustrating one embodiment of the deployed prosthetic venous valve assembly 20 in the closed position.

Figure 6A is a perspective view illustrating a membrane limiting means according to one embodiment of the present invention.

Figure 6B is a perspective view illustrating a membrane limiting means according to one embodiment of the present invention.

Figure 6C is a perspective view illustrating a 5 membrane limiting means according to one embodiment of the present invention.

#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

The stent-based valves of the present invention 10 provide a method for overcoming the difficulties associated with the treatment of valve insufficiency. Although stent based venous valves are disclosed to illustrate one embodiment of the present invention, one of ordinary skill in the art would understand that the disclosed invention 15 can be equally applied to other locations and lumens in the body, such as, for example, coronary, vascular, non-vascular and peripheral vessels, ducts, and the like, including but not limited to cardiac valves, venous valves, valves in the esophagus and at the stomach, valves in the 20 ureter and/or the vesica, valves in the biliary passages, valves in the lymphatic system and valves in the intestines.

In accordance with one aspect of the present invention, the prosthetic valve is designed to be

percutaneously delivered through a body lumen to a target site by a delivery catheter. The target site may be, for example, a location in the venous system adjacent to an insufficient venous valve. Once deployed the prosthetic 5 venous valve functions to assist or replace the incompetent or damaged natural valve by allowing normal blood flow (antegrade blood flow) and preventing or reducing backflow (retrograde blood flow).

A perspective view of a prosthetic venous valve in the 10 deployed state according to one embodiment of the present invention is shown in Figure 1. The prosthetic venous valve 100 comprises a structural frame 101 and a biocompatible membrane assembly 102. The membrane assembly 102 is a thin-walled biocompatible material formed into a 15 tube with a closed end. Exemplary configurations of a closed end tube would include a tubular cup or cone shape, however one of skill in the art would understand that other configurations could also be used.

Alternatively, the cup or cone end of membrane 20 assembly 102 may also be partially open, having a cross-sectional area that is substantially smaller than the open end of the membrane assembly. This reduced cross-sectional area must be sized to effectively minimize or reduce fluid flow past the prosthetic valve 100, substantially occluding

the vessel, when the valve 100 is in the closed (expanded) position. The partially open-end configuration will allow fluid to pass through the tube (membrane assembly 102) during antegrade blood flow, preventing or reducing fluid 5 stagnation within the tube. In applications where the prosthetic valve 100 is placed in the bloodstream, this reduced stagnation or pooling may decrease the risk of clotting.

For clarity, a perspective view of the prosthetic 10 venous valve 100 structural frame 101 according to one embodiment of the present invention is shown in Figure 2A. The structural frame 101 consists of an anchor structure 104 connected by at least one connecting member 105 to a proximal collar 108. In a preferred embodiment, at least 15 three connecting members 105 are utilized. By way of example, the embodiment illustrated in Figure 2A shows four connecting members 105.

One or more cantilever valve struts 107 extend from the proximal collar 108 in a proximal direction (upstream) 20 before looping back in a distal (downstream) direction substantially parallel to the structural frame 101 longitudinal axis 106. This configuration allows the cantilever valve strut 107 to be longer, increasing the flexibility of the struts 107 and helping to reduce the

strains imposed in the structural frame 101 and/or membrane assembly 102. The cantilever valve struts 107 are attached to the biocompatible membrane assembly 102 (not shown in Figure 2A) and further support the assembly in the open and closed positions. The proximal collar 108 serves as a connection point between the one or more valve strut members 107 and the one or more connecting members 105.

Each of the cantilever valve struts 107 illustrated in Figure 2A have a loop end 112 incorporated into the proximal end and a single branch distal end 113. The loop end 112 of the valve strut 107 is attached directly to the proximal end of the proximal collar 108, and has a semi-circular configuration, substantially symmetric about its center. This configuration allows the loop end 112 to effectively reverse the direction of the cantilever valve strut 107 from a proximal direction, where it attaches to the proximal end of proximal collar 108, to a distal direction.

In a preferred embodiment, at least three cantilever valve struts 107 are utilized. In the embodiment illustrated in Figures 2A four cantilever valve struts 107 are shown.

The number of cantilever valve struts 107 and connecting members 105 illustrated are not meant to limit

the scope of the invention. One of skill in the art would understand that other quantities and combinations of valve struts 107 and connecting members 105 could be used and still accomplish the general intent of the invention.

5 In addition, the structural frame 101, particularly the connecting members 105 and/or cantilever valve struts 107 may include radiopaque markers or marker bands attached or integrated thereto. The radiopaque markers are opaque to radiation, especially to X rays and MRI, allowing the 10 position of the structural frame 101 or its components to be viewed "in vivo". Figure 1 illustrates marker bands 103 along the cantilever valve strut 107 members.

It should be noted that the terms proximal and distal are typically used to connote a direction or position 15 relative to a human body. For example, the proximal end of a bone may be used to reference the end of the bone that is closer to the center of the body. Conversely, the term distal can be used to refer to the end of the bone farthest from the body. In the vasculature, proximal and distal are 20 sometimes used to refer to the flow of blood to the heart, or away from the heart, respectively. Since the prosthetic valves described in this invention can be used in many different body lumens, including both the arterial and venous system, the use of the terms proximal and distal in

this application are used to describe relative position in relation to the direction of fluid flow. As used herein, the terms upstream and downstream are relative to the normal direction of fluid flow (antegrade flow). By way of example, for venous valves, downstream connotes a direction of blood flow toward the heart. Accordingly, the use of the term proximal in the present application describes an upstream member, section or relative position, regardless of its orientation relative to the body. The use of the term distal is used to describe a downstream member, section or relative position regardless of its orientation relative to the body. Similarly, the use of the terms proximal and distal to connote a direction describe upstream (retrograde) or downstream (antegrade) respectively.

In the embodiment illustrated in Figures 2A, the connecting members 105 are substantially linear members, connecting the stent based distal anchor 104 and the proximal collar 108. Alternatively, the connecting members 105 may be twisted in a helical fashion as they extend between the proximal collar 108 and the distal anchor 104. This alternate embodiment is illustrated in Figure 2B. Specifically, the connection points between the connecting members 105 and the distal anchor 104, and the connecting

members 105 and the proximal collar 108, are rotationally phased 180 degrees from each other to provide the helical design.

Similarly, the distal end 113 of the cantilever valve struts 107 are illustrated as substantially straight members, but may take on other configurations. By way of example, Figure 2C shows a structural frame 101 having sinusoidal cantilever valve struts 107 along the distal end 113, while Figure 2D shows a structural frame 101 having helical cantilever valve struts 107 along the distal end 113. These various configurations may be used to change the properties of the structural frame, for example, by providing more flexibility in a particular plane or direction. Still other configurations are possible as would be understood by one of skill in the art.

The structural frame 101 could also include a secondary mechanism to center the proximal end of the frame in the body vessel or lumen. This mechanism may also provide additional anchoring to the vessel wall to further stabilize the prosthetic valve 100.

Figure 2E shows a centering mechanism 205 incorporated into the proximal end of the structural frame 101 according to one embodiment of the present invention. The centering mechanism 205 is comprised of one or more legs 210 that

extend in a substantially radial direction from the longitudinal centerline 106 to the vessel wall (not shown). In the illustrated embodiment, 4 legs 210 are shown for the purpose of example. The legs 210 terminate with a blunt end, such as the curved bend illustrated, to reduce the possibility of the leg end perforating the vessel wall. The opposite end of the leg 210 is attached to the structural frame at or near the proximal collar 108. In the embodiment illustrated in Figure 2E, the centering legs 210 are cut from the same tube as the remainder of the structural frame 101 such that the structural frame 101, including legs 210, is a one piece unit. Alternatively, the centering legs 210 may be separate wire units and crimped or suitably attached to the structural frame 101 at the proximal collar 108. The leg 210 may include barbs 215 on or along the end portion to further anchor the structural frame 101 to the vessel wall.

The structural frame 101 may also include a second anchor mechanism 203, similar to anchor 104, as shown in Figure 2F. Aside from providing additional support and anchoring for the proximal end of the structural frame 101, the proximal anchor 203 may also act as a centering mechanism to center the proximal end of the structural frame 101 in the vessel or lumen (not shown). The proximal

anchor 203 may be attached directly to the structural frame 101 at the proximal collar 108, or may be attached to the proximal collar by connecting members 206 as shown in Figure 2F. As disclosed above, the proximal anchor 203 and 5 connecting members 206 may be cut from the same tube as the remainder of the structural frame 101 such that the structural frame 101, including the anchor 203 and connecting members 206, is a one piece unit. Alternatively, the anchor 203 and connecting members 206 10 may be separate units crimped or suitably attached to the structural frame 101 at the proximal collar 108.

The materials for the structural frame 101 should exhibit excellent corrosion resistance and biocompatibility. In addition, the material comprising the 15 structural frame 101 should be sufficiently radiopaque and create minimal artifacts during MRI.

The present invention contemplates deployment of the prosthetic venous valve 100 by both assisted (mechanical) expansion, i.e. balloon expansion, and self-expansion 20 means. In embodiments where the prosthetic venous valve 100 is deployed by mechanical (balloon) expansion, the structural frames 101 is made from materials that can be plastically deformed through the expansion of a mechanical assist device, such as by the inflation of a catheter based

balloon. When the balloon is deflated, the frame 101 remains substantially in the expanded shape. Accordingly, the ideal material has a low yield stress (to make the frame 101 deformable at manageable balloon pressures), high 5 elastic modulus (for minimal recoil), and is work hardened through expansion for high strength. The most widely used material for balloon expandable structures 101 is stainless steel, particularly 316L stainless steel. This material is particularly corrosion resistant with a low carbon content 10 and additions of molybdenum and niobium. Fully annealed, stainless steel is easily deformable.

Alternative materials for mechanically expandable structural frames 101 that maintain similar characteristics to stainless steel include tantalum, platinum alloys, 15 niobium alloys, and cobalt alloys. In addition other materials, such as polymers and bioabsorbable polymers may be used for the structural frames 101.

Where the prosthetic venous valve 100 is self-expanding, the materials comprising the structural frame 20 101 should exhibit large elastic strains. A suitable material possessing this characteristic is Nitinol, a Nickel-Titanium alloy that can recover elastic deformations of up to 10 percent. This unusually large elastic range is commonly known as superelasticity.

The disclosure of various materials comprising the structural frame should not be construed as limiting the scope of the invention. One of ordinary skill in the art would understand that other material possessing similar characteristics may also be used in the construction of the prosthetic venous valve 100. For example, bioabsorbable polymers, such as polydioxanone may also be used. Bioabsorbable materials absorb into the body after a period of time. The period of time for the structural frame 101 to absorb may vary, but is typically sufficient to allow adequate tissue growth at the implant location to adhere to and anchor the biocompatible membrane 102.

The structural frame 101 may be fabricated using several different methods. Typically, the structural frame 101 is constructed from sheet, wire (round or flat) or tubing, but the method of fabrication generally depends on the raw material form used.

The structural frame 101 can be formed from wire using convention wire forming techniques, such as coiling, 20 braiding, or knitting. By welding the wire at specific locations a closed-cell structure may be created. This allows for continuous production, i.e. the components of the structural frame 101, such as the anchors, to be cut to length from a long wire mesh tube. The connecting members

(i.e. 206, 105) may then be attached to the proximal and distal anchors (i.e. 203, 104 respectively), by welding or other suitable connecting means. When this fabrication method is used, the proximal collar 108 may also be crimped 5 over the wire frame ends (i.e. connecting members, cantilever struts, and/or centering legs) to connect the individual members together. Alternatively, the wire ends may be attached to the proximal collar 108 by welding or other suitable connecting means.

10 Alternatively, some or all of the complete structural frame 101 may be cut from a solid wall tube or sheet of material. Laser cutting, water-jet cutting and photochemical etching are all methods that can be employed to form the structural frame 101 from sheet and tube stock 15 as are known in the art.

Referring to Figure 2A for example, the structural frame 101 (including the distal anchor 104, connecting members 105, cantilever valve struts 107 and proximal collar 108) may all be cut from a solid tube eliminating 20 the need for welding or mechanically attaching individual components together. In this embodiment, the proximal collar 108 shown is the actual pre-cut solid wall tube (and remains in the pre-cut, pre-expansion size), while the remainder of the components comprising the structural frame

101 are shown in the expanded (deployed) position. As one of skill in the art would understand, the proximal collar 108 serves as a common termination point for the cantilever valve struts 107 and connecting members 105.

5 In other embodiments, the proximal anchor 203 or centering legs 210 may similarly be cut from the same solid wall tube as the remainder of the structural frame 101.

Alternatively, the connecting members 105 and cantilever valve struts 107 may be separate, loose 10 components, and tied to each other by the proximal collar 108. In this configuration, the proximal collar 108 acts as a connection point to connect or crimp down and hold the loose members in place. In other embodiments disclosed above, the centering legs 210, connecting members 206 15 and/or proximal anchor 203 may also be fabricated separate from the other structural frame 101 components, and similarly attached or crimped in place at the proximal collar 108.

As discussed above, the disclosure of various methods 20 for constructing the structural frame 101 should not be construed as limiting the scope of the invention. One of ordinary skill in the art would understand that other construction methods may be employed to form the structural frame 101 of the prosthetic venous valve 100.

In one embodiment of the invention, the anchor 104 (and in other particular embodiments, proximal anchor 203) are stent-based structures. This configuration facilitates the percutaneous delivery of the prosthetic venous valve 100 through the vascular system in a compressed state. Once properly located, the stent-based venous valve 100 may be deployed to the expanded state.

A perspective views of a typical stent-based anchor in the expanded (deployed) state is shown in Figures 3A. 10 Although stent anchor 104 incorporating a plurality of hoop structures (306A through 306D) is shown in the illustrated embodiment, each stent anchor may utilize a single hoop structure.

The distal stent anchor 104 (and in some embodiments 15 proximal stent anchor 203) is comprised of a tubular configuration of structural elements having proximal and distal open ends and defining the longitudinal axis 106 extending therebetween. The stent anchor 104 has a first diameter (not shown) for insertion into a patient and 20 navigation through the vessels, and a second diameter D2 for deployment into the target area of a vessel, with the second diameter being greater than the first diameter. The stent anchor 104, and thus the stent based venous valve

100, may be either a mechanical (balloon) or self-expanding stent based structure.

The stent anchor 104 comprises at least one hoop structure 306 (306A through 306D are shown) extending 5 between the proximal and distal ends. The hoop structure 306 includes a plurality of longitudinally arranged strut members 308 and a plurality of loop members 310 connecting adjacent struts 308. Adjacent struts 308 are connected at opposite ends in a substantially S or Z shaped pattern so 10 as to form a plurality of cells. The plurality of loops 310 have a substantially semi-circular configuration, having an inter radii 312 and outer radii 314, and are substantially symmetric about their centers. The inner and outer radii 312, 314 respectively, are shown in a close-up 15 perspective view illustrated in Figure 3B.

In the illustrated embodiment, the distal stent anchor 104 comprises a plurality of bridge members 314 that connect adjacent hoops 306A through 306D. Each bridge member 314 comprises two ends 316A, 316B. One end 316A, 20 316B of each bridge 314 is attached to one loop on one hoop. Using hoop sections 306C and 306D for example, each bridge member 314 is connected at end 316A to loop 310 on hoop section 306C at a point 320. Similarly, the opposite

end 316B of each bridge member 314 is connected to loop 310 on hoop sections 306D at a point 321.

As described earlier, although a Z or S shaped pattern stent anchor is shown for the purpose of example, the 5 illustration is not to be construed as limiting the scope of the invention. One of ordinary skill in the art would understand that other stent geometries may be used.

The connecting member 105 may be connected to the distal anchor 104 at various points along the structure.

10 As illustrated in Figure 3A, the connecting members 105 are connected to the proximal end of the distal anchor 104 at the inflection point of the loop members 310, particularly at the outer radii 314 of the inflection point of loop members 310. Similarly, Figure 3C illustrates a single 15 hoop anchor 104 having three connecting members 105 connected to the proximal end of the distal anchor 104 at the outer radii 314 of the inflection point of loop members 310.

20 Preferably the connecting members 105 are connected to the inflection point of loop members 310 at evenly spaced intervals along the circumference of the tubular anchor 104. This configuration facilitates the radial expansion of the prosthetic valve from the collapsed (delivered)

state to the expanded (deployed) state, and provides a substantially symmetrical valve configuration.

Alternatively, the connecting members 105 may be connected to the proximal end of the distal anchor 104 at 5 the inner radii 312 of the inflection point of loop member 310. This configuration is illustrated in Figure 3D.

Figure 3D also illustrates a partial perspective view of the structural frame 101 having a single hoop structure 306 and three connecting members.

10 In still a further embodiment, the connecting members 105 may be connected along the strut members 308 of the distal anchor 104 as shown in Figure 3E.

In any of the above described configurations, the connections between the connecting members 105 and the 15 anchor 104 may be made at every inflection point around the circumference of the structure; or alternatively, at a subset of the inflection points around the circumference of the structure. In other words, connected inflection points alternate with unconnected inflection points in some 20 defined pattern.

The distal anchor 104 secures the prosthetic valve 100 to the inside wall of a body vessel such as a vein, and provide anchor points for the connecting members 105. Once deployed in the desired location, the anchor 104 will

expand to an outside diameter slightly larger than the inside diameter of the native vessel (not shown) and remain substantially rigid in place, anchoring the valve assembly to the vessel. The connecting members 105 preferably have 5 an inferior radial stiffness, and will conform much more closely to the native diameter of the vessel, facilitating the operation and stability of the prosthetic valve 100.

The stent anchor may also have spurs or barbs (not shown) protruding from its proximal or distal end to 10 further assist anchoring the prosthetic valve.

The membrane assembly 102 is formed from a flexible membrane-like biocompatible material shaped into a tubular structure with a closed or substantially closed end. Exemplary embodiments would include a cup or cone shaped 15 tube. The flexible membrane may be elastic, semi-elastic or display little or no elasticity. One of skill in the art would appreciate that there are many different methods, some known in the art, which may be employed to manufacture the membrane assembly 102 from this material.

20 The biocompatible material may be a biological material, such as a vein or small intestine submucosa (SIS) formed into a cup or pocket, but is preferably a synthetic material such as a polymer, for example an elastic or elastomeric polymer, including a fluoropolymer,

fluoroelastomer, or a bioabsorbable material, such as a bioabsorbable polymer or bioabsorbable elastomer. Bioabsorbable materials may allow cells to grow and form a tissue membrane over the bioabsorbable membrane. The 5 bioabsorbable membrane then absorbs into the body, leaving the tissue membrane in place to act as a new natural tissue valve.

The membrane material may also be made from other synthetics, such as thin metallic materials or membranes.

10 The membrane must be strong enough to resist tearing under normal use, yet thin enough to provide the necessary flexibility that allows the biocompatible membrane assembly 102 to open and close satisfactorily. To achieve the necessary flexibility and strength of the membrane assembly 15 102, the synthetic material may be, for example, reinforced with a fiber, such as an electro-statically spun (ESS) fiber, or formed from a porous foam, such as ePTFE, or a mesh.

Particular ESS fibers suitable for the spinning 20 process include fluoropolymers, such as a crystalline fluoropolymer with an 85/15% (weight/weight ratio) of vinylidene fluoride/hexafluoropropylene (VDF/HFP). Solvay Solef® 21508 and Kynarflex 2750-01 are two such examples. However, one of skill in the art would understand that any

material possessing the desired characteristics may be used, including, for example: bioabsorbable polymers, such as polyglycolic acid, polylactic acid, poly (paradioxanone), polycaprolactone, poly 5 (trimethylenecarbonate) and their copolymers; and semicrystalline bioelastomers, such as 60/40% (weight/weight ratio) of polylactic acid / polycaprolactone (PLA/PCL), 65/35 (weight/weight ratio) of polyglycolic acid/polycaprolactone (PGA/PCL), or nonabsorbable 10 siliconized polyurethane, non-siliconized polyurethanes, siliconized polyureaurethane, including siliconized polyureaurethane end capped with silicone or fluorine end groups, or natural polymers in combination thereof. It should be noted that poly(trimethylenecarbonate) can not be 15 spun as a homopolymer.

The ESS formed membrane assembly 102 may also be coated with a polymer solution, such as fluoroelastomer. The coating process may take place before the membrane assembly is attached to the cantilever valve struts 107 or 20 connecting members 105, or after the membrane assembly 102 and structural frame 101 are assembled.

The coating process may act to encapsulate and attach at least a portion of the spun ESS reinforcement fiber to the structural frame, in particular the cantilever

valve strut 107 assembly or connecting members 105. It should be noted that in some embodiments of the invention, some movement between the membrane assembly 102 and the structural frame 101 is desired. Accordingly, not all of 5 the ESS fiber spun structural frame 101 may be coated.

The coating process may also remove some porosity of the membrane material. However, it may be desirable to maintain some porosity in particular embodiments to promote biological cell grown on and within the membrane tubular 10 structure.

The coating solution preferably comprises a polymer put into solution with a solvent. As the solvent evaporates, the polymer comes out of solution forming the coating layer. Accordingly, for the process to work 15 properly, the solvent used in the coating solution should not dissolve or alter the ESS fibers being coated. By way of example, a coating solution of 60/40% VDF/HFP in methanol (methanol being the solvent) has been found to be a suitable solution for coating an ESS fiber comprised of 20 85/15% VDF/HFP.

In one embodiment of the invention, the polymer comprising the coating is Daikin's Dai-El G701BP, which is a 60/40% VDF/HFP. In addition, Daikin's Dai-El T630, a thermoplastic elastomer based on vinylidene

fluoride/hexafluoropropylene/tetrafluoroethylene

(VDF/HFP/TFE) can also be used. Again, one of ordinary skill in the art would understand that other materials having suitable characteristics may be used for the 5 coating, for example, other polymers, such as siliconized polyurethane, including Polymer Technology Group's Pursil, Carbosil, Purspan and Purspan F.

In another embodiment the membrane assembly is made from a micro-cellular foam or porous material, such as, for 10 example an ePTFE membrane.

In this embodiment, the membrane assembly 102 is fabricated from a polymer material that can be processed such that it exhibits an expanded cellular structure, preferably expanded Polytetrafluoroethylene (ePTFE). The 15 ePTFE tubing is made by expanding Polytetrafluoroethylene (PTFE) tubing, under controlled conditions, as is well known in the art. This process alters the physical properties that make it satisfactory for use in medical devices. However, one of ordinary skill in the art would 20 understand that other materials that possess the necessary characteristics could also be used.

The micro-cellular foam or porous material (preferably expanded Polytetrafluoroethylene (ePTFE)) may be coated with a polymer. The polymer can be coated on the inside or

outside surface of the ePTFE tube. Alternatively, the polymer may be coated on the inside and outside of the ePTFE tube.

In a preferred embodiment of the invention, the polymer comprising the coating includes Daikin's Dai-El T630, a thermoplastic elastomer based on vinylidene fluoride/hexafluoropropylene/tetrafluoroethylene (VDF/HFP/TFE) and blends thereof. Again, one of ordinary skill in the art would understand that other materials having suitable characteristics may be used for the coating, for example, other polymers, such as siliconized polyurethanes and blends thereof, including Polymer Technology Group's Pursil, Carbosil, Purspan and Purspan F.

The membrane assembly 102 formed from the micro-cellular foam or porous membrane may also be coated with a fluoroelastomer. In one embodiment of the invention, the coating is Daikin G701BP, which is a 60/40% VDF/HFP. Again, one of ordinary skill in the art would understand that other materials having suitable characteristics might be used for the coating, for example, other polymers, such as siliconized polyurethane.

As previously described, the coating process may take place before the membrane assembly is attached to the structural frame 101, or after the membrane assembly 102

and structural frame 101 are assembled. The coating process may act to encapsulate and attach at least a portion of the micro-cellular foam or porous membrane tube to the structural frame 101.

5 Some post processing of the membrane assembly 102 may also take place to achieve particular desired characteristics, or configurations. This may include creating the final closed or substantially closed cup or cone shape of the membrane assembly 102 if needed. In 10 addition, post processing may change the characteristics of the membrane assembly 102 by thickening or thinning the membrane in particular locations. Thickening the membrane may add rigidity and reinforcement to a particular area. Thinning the membrane may make the membrane more pliable, 15 which is a desirable characteristic. Still other post processing procedures may change the physical shape of the membrane assembly 102, for example, by forming loop collars (such as loop collars 605 in Figures 6A through 6C) along the distal edge of membrane assembly 102.

20 The thickness of the synthetic valve membrane assembly 102 is dependent on the size, type and location of the prosthetic valve. For venous valves applications a polymeric membrane assembly 102 having a thickness of

between 12 $\mu\text{m}$  and 100 $\mu\text{m}$  and preferably between 25 $\mu\text{m}$  and 50 $\mu\text{m}$  has been found to be acceptable.

The membrane assembly 102 is placed or formed over the structural frame 101, similar to a graft. In particular, 5 the membrane assembly 102 is formed into a closed end or substantially closed end tube over at least a portion of the connecting members 105. The cantilever valve struts 107 are then placed over the outer surface of the membrane assembly 102. The connecting members 105 and the 10 cantilever valve struts 107 act to support the membrane assembly in a substantially tubular configuration.

The membrane assembly 102 may be formed into the tubular configuration separately, and then placed over the structural frame 101. Alternatively, the membrane assembly 15 102 may be formed into the tubular configuration directly over the structural frame 101, such as by an electrostatic spinning process that spins the ESS fiber directly over the structural frame. This process is disclosed in a co pending patent application, serial number 10/402,048 20 entitled METHOD OF FORMING A TUBULAR MEMBRANE ON A STRUCTURAL FRAME, filed on March 28, 2004, and is hereby incorporated by reference.

Figures 4A and 4B are perspective and section views, respectively, illustrating one embodiment of the expanded

(deployed) prosthetic venous valve assembly 100 in the open position. In this embodiment, the term open means that the prosthetic venous valve 100 is configured to allow antegrade blood flow 400 to pass through the valve. To 5 accomplish this, the membrane assembly 102 is in a substantially collapsed position.

The embodiment illustrated in Figures 4A and 4B has three connecting members 105 and three cantilever valve struts 107. The membrane assembly 102 is placed over a 10 portion of the structural frame 101, particularly over the connecting members 105, proximal collar 108 and at least a portion of the loop end 112 of the cantilever valve struts 107. A compression ring 109 may be used to fix the membrane assembly 102 to the proximal collar 108. The ring 15 109 should be sized to apply a radially compressive force on the membrane assembly 102, effectively fixing the membrane assembly 102 against the proximal collar 108.

The flexible membrane assembly illustrated in Figure 4A is formed into a tubular cone having a first (distal) 20 and second (proximal) ends 401, 402 respectively. The first end 401 of the membrane assembly 102 is located at the distal end of the cantilever valve struts 107, near the proximal end of the distal anchor 104, and is capable of opening to substantially the full diameter of the native

vessel. In one embodiment of the invention, the membrane assembly 102 is fixedly attached along the distal end of the cantilever valve struts 107 and connecting members 105. Alternatively the membrane assembly 102 may be slidably attached to the connecting members 105. This configuration may assist the membrane assembly 102 when opening and closing.

The membrane assembly extends in a proximal direction along the connecting members 105 and terminates at the second end 402. The second (proximal) end 402 of the membrane assembly 102 is fixedly or slideably attached along the loop end 112 of the cantilever valve struts 107. The proximal end 402 of the membrane assembly 102 has an open end with a substantially reduced cross-sectional area. As previously disclosed, the proximal end 402 may alternatively terminate with a closed cup or cone end.

In an alternative embodiment, the proximal end 402 may terminate at the proximal collar 108 with a closed or open end.

The illustrated embodiment shows a valve assembly 100 having a single cone or cup, and may be considered a monocusp design. However, other configurations using more than a single cup or cone are also contemplated by the present invention.

During retrograde flow, blood passes the leading edge along the first end 401 of the membrane assembly 102 and enters the interior (i.e. "cup") portion of membrane assembly 102. The membrane assembly 102 quickly fills with 5 the retrograde flowing blood, expanding and opening the membrane assembly 102. As the membrane assembly 102 opens, the first end 401 is forced out toward vessel wall, substantially occluding the vessel and thus reducing retrograde flow through the valve. In a preferred 10 embodiment, the membrane assembly 102 will expand to a sufficient diameter to substantially seal against the inner vessel wall.

As previously described, the membrane assembly 102 may have a closed or substantially closed proximal end 402. In 15 embodiments where the membrane assembly 102 proximal end 402 is substantially closed, the proximal opening must be of a sufficiently reduced cross-sectional area to substantially reduce or prevent the flow of fluid through the proximal end 402 of the membrane assembly 102.

20 In the embodiment illustrated in Figure 4A, the proximal end 402 of the membrane assembly 102 is a substantially closed end tube (open but having a reduced cross-sectional area) disposed about the proximal loop end 112 of the cantilever valve struts 107. In particular, the

proximal end 402 of the membrane assembly 102 is disposed about the cantilever valve strut 107 in close proximity to the interface between the cantilever valve strut 107 and proximal collar 108. The membrane assembly 102 and 5 cantilever valve strut 107 are configured such that when the valve is in the open position (collapsed to allow blood flow to pass through the valve), the proximal loop ends 112 of the cantilever valve struts 107 are separated and allow the proximal end 402 of the membrane assembly to remain in 10 an open tubular position. When the valve closes during retrograde blood flow, the proximal loop ends 112 of the cantilever valve struts 107 move closer together, urging the proximal end 402 of the membrane assembly 102 together. This movement substantially or completely closes the 15 proximal end 402 of the membrane assembly 102, allowing the membrane assembly to substantially or completely occlude the vessel.

Figures 5A and 5B show perspective and section views, respectively, illustrating one embodiment of the expanded 20 (deployed) prosthetic venous valve assembly 100 in the closed position. As the term is used herein, closed means that the prosthetic venous valve 100 is configured to substantially prohibit retrograde blood flow 410 to pass through the valve. To accomplish this, the membrane

assembly 102 is in an expanded position, substantially occluding the vessel.

In a preferred embodiment of the invention, the membrane assembly 102 is normally configured in the open position (membrane assembly 102 substantially collapsed), and only moves to the closed position (membrane assembly 102 substantially expanded) upon retrograde blood flow. This configuration minimizes interference with blood flow (minimized occlusion) and reduces turbulence at and through 10 the valve. The cantilever valve struts 107 in this embodiment have an inferior radial stiffness, and provide a natural bias against the movement of the membrane assembly 102 to the closed position. This bias assists the valve membrane assembly 102 when returning to the open position.

15 Depending on the application, it may also be desirable for the bias towards opening the prosthetic valve 100 (collapsing the membrane assembly 102) be sufficiently high to commence collapsing the membrane assembly 102 before antegrade blood flow begins, i.e. during a point in time 20 when the blood flow is stagnant (there is neither antegrade nor retrograde blood flow), or when minimal retrograde flow is experienced.

In other applications, it may be desirable to have the valve assembly 100 normally configured in the closed

position (membrane assembly 102 in the expanded position), biased closed, and only open upon antegrade flow.

As earlier described, the membrane assembly 102 is made from a flexible membrane-like biocompatible material.

5 The membrane assembly 102 can be woven, non-woven (such as electrostatic spinning), mesh, knitted, film or porous film (such as foam).

The membrane assembly 102 may be fixedly attached to the structural frame 101 (particularly cantilever valve 10 struts 107 and/or connecting members 105) by many different methods, including attachment by means of a binder, heat, or chemical bond, and/or attachment by mechanical means, such as welding or suturing. In one embodiment, some of the membrane assembly 102, such as distal end 401, is 15 slideably attached to the connecting member 105. Allowing the distal end 401 to slide along the connecting member 105 107 may allow or improve the opening and closing of the membrane assembly 102. The sliding movement may also assist the membrane assembly 102 cup when filling and 20 emptying.

In some applications, excessive sliding movement of the membrane assembly 102 is undesirable. In these embodiments, a limiting means may be integrated into the prosthetic valve 100 to limit the sliding movement of the

membrane assembly 102. Examples of limiting means are shown in Figures 6A to 6C. In each embodiment a stop 600 (illustrated as stop 600A, 600B, and 600C in Figures 6A to 6C respectively) is integrated into the connecting member 105. The membrane assembly 102 is wrapped around the connecting member 105 and bonded to itself to form a loop collar 605. The loop collar 605 must be sized to inhibit the distal end 401 of the membrane assembly 102 from sliding past the stop 600. In Figure 6A, the connecting member 105 has a thickened or "bulbous" section forming stop 600A. Figure 6B illustrates an undulating stop 600B configuration. Similarly, Figure 6C shows the stop 600C configured as a double bulbous section. It should be noted that the various configurations illustrated in Figures 6A through 6C are exemplary. One of ordinary skill in the art would understand that other configurations of stops may be used.

It is important to note that the local delivery of drug/drug combinations may be utilized to treat a wide variety of conditions utilizing any number of medical devices, or to enhance the function and/or life of the device. Medical devices that may benefit from this treatment include, for example, the frame based

unidirectional flow prosthetic implant subject of the present invention.

Accordingly, in addition to the embodiments described above, therapeutic or pharmaceutic agents may be added to 5 any component of the device during fabrication, including, for example, the ESS fiber, polymer or coating solution, membrane tube, structural frame or inner and outer membrane, to treat any number of conditions. In addition, therapeutic or pharmaceutic agents may be applied to the 10 device, such as in the form of a drug or drug eluting layer, or surface treatment after the device has been formed. In a preferred embodiment, the therapeutic and pharmaceutic agents may include any one or more of the following: antiproliferative/antimitotic agents including 15 natural products such as vinca alkaloids (i.e. vinblastine, vincristine, and vinorelbine), paclitaxel, epidipodophyllotoxins (i.e. etoposide, teniposide), antibiotics (dactinomycin (actinomycin D) daunorubicin, doxorubicin and idarubicin), anthracyclines, mitoxantrone, 20 bleomycins, plicamycin (mithramycin) and mitomycin, enzymes (L-asparaginase which systemically metabolizes L-asparagine and deprives cells which do not have the capacity to synthesize their own asparagine); antiplatelet agents such as G(GP) 11<sub>b</sub>/111<sub>a</sub> inhibitors and vitronectin receptor

antagonists; antiproliferative/antimitotic alkylating agents such as nitrogen mustards (mechlorethamine, cyclophosphamide and analogs, melphalan, chlorambucil), ethylenimines and methylmelamines (hexamethylmelamine and 5 thiotepa), alkyl sulfonates-busulfan, nirtosoureas (carmustine (BCNU) and analogs, streptozocin), trazenes - dacarbazine (DTIC); antiproliferative/antimitotic antimetabolites such as folic acid analogs (methotrexate), pyrimidine analogs (fluorouracil, 10 floxuridine, and cytarabine), purine analogs and related inhibitors (mercaptopurine, thioguanine, pentostatin and 2-chlorodeoxyadenosine {cladribine}); platinum coordination complexes (cisplatin, carboplatin), procarbazine, hydroxyurea, mitotane, aminoglutethimide; hormones (i.e. 15 estrogen); anticoagulants (heparin, synthetic heparin salts and other inhibitors of thrombin); fibrinolytic agents (such as tissue plasminogen activator, streptokinase and urokinase), aspirin, dipyridamole, ticlopidine, clopidogrel, abciximab; antimigratory; antisecretory 20 (breveldin); anti-inflammatory: such as adrenocortical steroids (cortisol, cortisone, fludrocortisone, prednisone, prednisolone,  $6\alpha$ -methylprednisolone, triamcinolone, betamethasone, and dexamethasone), non-steroidal agents (salicylic acid derivatives i.e. aspirin; para-aminophenol

derivatives i.e. acetominophen; indole and indene acetic acids (indomethacin, sulindac, and etodalac), heteroaryl acetic acids (tolmetin, diclofenac, and ketorolac), arylpropionic acids (ibuprofen and derivatives), 5 anthranilic acids (mefenamic acid, and meclofenamic acid), enolic acids (piroxicam, tenoxicam, phenylbutazone, and oxyphenanthrazone), nabumetone, gold compounds (auranofin, aurothioglucose, gold sodium thiomalate); immunosuppressives: (cyclosporine, tacrolimus (FK-506), 10 sirolimus (rapamycin), azathioprine, mycophenolate mofetil); angiogenic agents: vascular endothelial growth factor (VEGF), fibroblast growth factor (FGF); angiotensin receptor blockers; nitric oxide donors; anti-sense oligonucleotides and combinations thereof; cell cycle 15 inhibitors, mTOR inhibitors, and growth factor receptor signal transduction kinase inhibitors; retinoids; cyclin/CDK inhibitors; HMG co-enzyme reductase inhibitors (statins); and protease inhibitors.

While a number of variations of the invention have 20 been shown and described in detail, other modifications and methods of use contemplated within the scope of this invention will be readily apparent to those of skill in the art based upon this disclosure. It is contemplated that various combinations or subcombinations of the specific

embodiments may be made and still fall within the scope of the invention. For example, the embodiments variously shown to be prosthetic "venous valves" may be modified to instead incorporate prosthetic "heart valves" and are also contemplated. Moreover, all assemblies described are believed useful when modified to treat other vessels or lumens in the body, in particular other regions of the body where fluid flow in a body vessel or lumen needs to be controlled or regulated. This may include, for example, the coronary, vascular, non-vascular and peripheral vessels and ducts. Accordingly, it should be understood that various applications, modifications and substitutions may be made of equivalents without departing from the spirit of the invention or the scope of the following claims.

The following claims are provided to illustrate examples of some beneficial aspects of the subject matter disclosed herein which are within the scope of the present invention.